台灣金融研訓院
Taiwan Academy of Banking and Finance

Anti-Money Laundering and Counter-Terrorism Financing

No. 5

※This course counts as 12 hours of AML/CFT on-the-job training

- **Date/time: August 8-9,** 2019, 09:10-17:00
- **Location:** Taiwan Academy of Banking and Finance (No. 62, Sec. 3, Roosevelt Rd., Taipei)
- **Who should participate: (instruction is in Chinese; places are limited)**
  - Regulators (including the FSC, central bank, and Ministry of Justice)
  - Fintech, E-banking, international finance, cross-border funding, internal control and audit, compliance, risk management, wealth management, foreign exchange, corporate finance practitioners, and chief financial officers in financial institutions (including financial control companies, banks, securities firms, and insurers)
  - Supervisors and personnel of financial associations and related entities
  - Anyone interested in AML/CFT or doing related business
  - College instructors and students of finance and related disciplines
  - Researchers in financial supervisory units
  - Lawyers, accountants, and accountant managers
- **Organizer:** Taiwan Academy of Banking and Finance (TABF)
- **Fee:** NTD 13,000 (including meals)
- **Discounts (select one):** registrants using Study Points or in groups of three or more get 10% off
- **Registration:**
  - Online: http://service.tabf.org.tw/tw/user/2019VIGOR/course3.htm
  - E-mail: Complete the registration form and send it to regosd@tabf.org.tw

■ **Agenda**

Time	Content
2019.8.8 **09:10-17:00**	**"Anti-Money Laundering and Counter-Terrorism Financing I"** 1. An Overview • What is money laundering and terrorism financing? • The link i. Corruption and money laundering ii. Money laundering and terrorism financing 2. Typologies – Techniques used to launder money or finance terrorism • Case study 3. Red Flags • Money transfer • Trade finance
2019.8.9 **09:10-17:00**	**"Anti-Money Laundering and Counter-Terrorism Financing II"** 4. Customer Due Diligence (CDD) • When must CDD be performed? 5. Correspondent Banking 6. Financial Intelligence Units 7. Suspicious Transactions Reporting System 8. Others 9. Q&A

***This event is in Chinese, supplemented with English.**

***Lunch provided from 12:00-13:10.**

■ **Speaker**

Dr. SOH CHEE SENG

President of DCTrade Consultants

Dr. Su worked in trade finance in Singapore and Malaysia for over 25 years. After leaving the banking industry, he started a letter of credit and trade finance consulting firm providing consulting services to banks, companies, lawyers, and courts.

Professional qualifications

- Technical advisor to the Association of Banks in Singapore and Deutsche Bank Asia-Pacific trade finance division
- Represented the International Chamber of Commerce Banking Commission on the Singapore National Committee; member of the drafting committee for UCP600 and ISBP (2002 and 2007 versions) – the only Asian representative.
- Associate Director, School of Law and Practice, American International Bank
- Has served as expert witness for credit dispute litigation in China, Hong Kong, Malaysia, and Singapore
- Member of the Editorial Advisory Board for Documentary Credits World (DCW). Dr. Su has published many articles relating to letters of credit and international trade finance, and wrote *UCP600: An Analytical Commentary* together with the well-known US legal professor James E Byrne.

■ **Other:**

- To cancel your reservation, please notify the TABF customer center in writing at least three days before the start of the course.
- Participants are requested to report to the venue at least 20 minutes before the start of the event. Notifications for any changes or adjustment will be given by SMS or e-mail.
- TABF reserves the right to change the schedule or topic of the event. Any changes will be posted on the website.
- For any other enquiries, call the customer service center at 02-33653666 x615.